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PTO/SB/21 (08-00)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	3	Application Number	10/017,262
		Filing Date	December 14, 2001
		First Named Inventor	David L. Adler
		Group Art Unit	2878
		Examiner Name	not yet known

Attorney Docket Number P960 (11.700)

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input checked="" type="checkbox"/> Transmittal Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Preliminary Amendment Under 37 C.F.R. § 1.115; Return receipt postcard
Remarks		

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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	James K. Okamoto, Reg No. 40,110 DEGUZMAN OKAMOTO & BENEDICTO, LLP
Signature	
Date	August 2, 2002

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: August 2, 2002

Typed or printed name	James K. Okamoto
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Page 1 of 2  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Examiner: Not yet known

David L. Adler, et al.

Serial No. 10/017,262

Art Unit: 2878

Filing Date: 12/14/2001

Attorney Docket No.: P960 (11.700)

Title: Photoelectron Emission Microscope for Wafer and Reticle Inspection

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Honorable Commissioner of Patents  
Washington, D.C. 20231

**PRELIMINARY AMENDMENT UNDER 37 C.F.R. § 1.115**

Sir:

This Preliminary Amendment is filed under 37 C.F.R. § 1.115 for the above-referenced patent application.

**In The Specification:**

Please amend the specification by inserting as the first paragraph following the title:

This Application claims the benefit of U.S. provisional patent application number 60/338,711, filed November 30, 2001, by inventor David L. Adler, entitled "A Photoelectron Emission Microscope for Wafer and Reticle Inspection."

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